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PATENT

Case Docket No. ETINC.011A
Date: February 18, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Sanjay Pujare, et al.
Appl. No. : 09/826,607
Filed : April 5, 2001
For : CONVENTIONALLY CODED
APPLICATION CONVERSION
SYSTEM FOR STREAMED
DELIVERY AND EXECUTION
Examiner : Kyung H. Shin
Group Art Unit: 2143

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Mail Stop Amendment, P.O. Box 1450,
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2/18/05

(Date)

John R. King
John R. King, Reg. No. 34,362

TRANSMITTAL LETTER

Commissioner for Patents
Mail Stop Amendment
P.O. Box 1450
Alexandria, VA 22313-1450

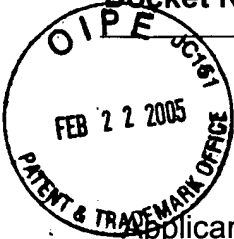
Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing twelve (12) references for consideration, all of which are enclosed.
- (X) Return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

John R. King
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Applicants : Sanjay Pujare, et al.
Appl. No. : 09/826,607
Filed : April 5, 2001
For : CONVENTIONALLY CODED
APPLICATION CONVERSION
SYSTEM FOR STREAMED DELIVERY
AND EXECUTION
Examiner : Kyung H. Shin
Group Art Unit : 2143

Mail Stop—Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is an Information Disclosure Statement by Applicant (PTO/SB/08 equivalent) listing twelve (12) references that are also enclosed.

Applicants note that since the filing of the previous Supplemental Information Disclosure Statement for this application, Office Actions have been received in two related, copending applications. In particular, an Office Action for U.S. Patent Application No. 10/005,729 was mailed on December 14, 2004, and an Office Action for U.S. Patent Application No. 10/010,147 was mailed on February 9, 2005. Copies of each of these Office Actions are available through the Patent Application Information Retrieval (PAIR) system. If the Examiner so requests, Applicants will provide the Examiner with copies of these Office Actions.

Pursuant to 37 C.F.R. § 1.97(g) and (h), Applicants make no representation that a search has been made or that the information is considered to be material to patentability. Additionally, inclusion on this list is not an admission that any of the cited documents are prior art in this application. Further, Applicants make no representation regarding the completeness of this list, nor represent that better art does not exist.

Appl. No. : 09/826,607
Filed : April 5, 2001

Docket No. ETINC.011A
Customer No. 20,995

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 2/18/05

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT FEB 22 2005 PATENT & TRADEMARK OFFICE	Application No.	09/826,607	
	Filing Date	April 5, 2001	
	First Named Inventor	Sanjay Pujare	
	Art Unit	2143	
	Examiner	Kyung H. Shin	
Multiple sheets used when necessary) SHEET 1 OF 1		Attorney Docket No.	ETINC.011A

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	6,832,222 B1	12-14-2004	Zimowski	
	2	6,757,708 B1	06-29-2004	Craig et al.	
	3	6,510,458 B1	01-21-2003	Berstis et al.	
	4	6,330,561 B1	12-11-2001	Cohen et al.	
	5	6,298,356 B1	10-02-2001	Jawahar et al.	
	6	6,253,234 B1	06-26-2001	Hunt et al.	
	7	6,192,398 B1	02-20-2001	Hunt	
	8	6,185,608 B1	02-06-2001	Hon et al.	
	9	6,157,948	12-05-2000	Inoue et al.	
	10	6,085,193	07-04-2000	Malkin et al.	
	11	5,949,877	09-07-1999	Traw et al.	
	12	4,796,220	01-03-1989	Wolfe	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹

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Examiner Signature	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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